

Data Protection Notice – Personal Data Protection

Last updated on August 7, 2018

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1. Who is responsible for processing your Personal Data?

- a. Within the framework of the General Data Protection Regulation (EU regulation No. 2016/679 or "**GDPR**"), we, Nicox, a French public limited company (*société anonyme*), registered in Grasse (France) (No. 403 942 642, having its registered office at 2405 Route des Dolines Drakkar 2, 06560 Valbonne ("**Nicox**" or "**us**"), are responsible for processing your personal data (the "**Personal Data**") described in this data protection notice (the "**Data Protection Notice**").
- b. The purpose of this Data Protection Notice is to provide you with information about how we process your Personal Data with respect to the information that may be provided to you about Nicox or to answer your questions.
- c. The method for contacting us about your Personal Data is described in section 7 of the Data Protection Notice.

2. What type of Personal Data do we collect?

For the purpose of the processing described in this Data Protection Notice, we collect Personal Data consisting of your contact information (for example, your email address, mail address, telephone number).

The Personal Data processed is that of (i) individual shareholders (natural persons) of Nicox, (ii) natural persons representing internal staff (employees, etc.) or managers of a legal entity shareholder of Nicox, (iii) natural persons contacting Nicox and (iv) natural persons contacted by Nicox at their own initiative, as applicable.

3. What are the sources of the Personal Data we collect?

We collect Personal Data both directly and from external sources. These sources of the Personal Data we collect are as follows:

a. Personal Data transmitted by you directly:

We process the Personal Data provided by you directly, for example when you send us questions or information requests.

b. Personal Data obtained from external sources:

We collect your Personal Data when a legal entity shareholder provides us with your contact information, when you hold registered shares, through information obtained from searches of public sources such as telephone directory white pages.

4. For what reasons do we process your Personal Data?

Your Personal Data is used for the following purposes:

a. Responding to your requests

We process your Personal Data to answer your questions and information requests.

Lawful basis for the data processing: Our legitimate interest to satisfy your demands.

b. Sending you financial information about Nicox

When you are a (i) shareholder (natural person) of Nicox, (ii) internal staff member (employee, etc.) or manager of a shareholder (legal entity) of Nicox or (iii) when you are not a shareholder of Nicox but request additional information about Nicox, you may be sent financial information about Nicox.

Lawful basis for the data processing: our legitimate interest to present to you our business in a transparent manner or contact you in connection with our annual general meetings.

When we collect your Personal Data, then whenever documentation is sent to you, you have the possibility of prohibiting the use of your contact information for this purpose.

c. Legal defense in the event of a dispute

We retain your Personal Data for the period indicated in section 5 of this Data Protection Notice, notably for the purpose of assuring our legal defense in the event of a dispute.

Lawful basis for the data processing: our legitimate interest for assuring our legal defense in the case of disputes.

d. Informing persons about changes to the Data Protection Notice

We process your Personal Data for the purpose of informing you about changes made to the Data Protection Notice.

Lawful basis for the data processing: our legitimate interest to inform you, in a timely manner, of changes made to the Data Protection Notice.

5. How long can we retain your Personal Data?

- a. We undertake to collect Personal Data that is up-to-date, and retain this data for the duration required for the purposes for which it was collected.
- b. We retain your data for until such time as you indicate that you no longer wish to receive this Personal Data documentation.
- c. However, we retain Personal Data when this is required by legal or regulatory obligations or the statute of limitations for legal actions has not been reached.

6. To whom do we transfer your Personal Data?

We transfer your Personal Data to the following recipients:

- Austrian Network SA, the hosting services provider of the website, www.nicox.com, which processes your Data to enable you to use the website ;
- Newcap, Life Sci Advisors who assist Nicox in distributing financial documentation.

7. What are your rights with respect to the processing of Personal Data? How can you exercise these rights?

- a. Your rights with respect to our processing of your Personal Data:

With respect to the processing of your Personal Data described in the Data Protection Notice, you have a right, within the conditions set by the applicable regulation:

- to obtain a copy of your Personal Data in our possession, and receive information about the processing of your Personal Data;
- to request that we rectify, complete or update your Personal Data that is inexact, incomplete, misleading and/or outdated;
- to have your Personal Data deleted;
- to impose limitations on one or more of our processing of your Personal Data ;

- to object to the processing of your Personal Data;
- to define instructions for the retention, deletion and communication of your Personal Data after your death.

Finally, you have a right to file a claim with the supervisory authority, in particular in a Member State of the European Union in which you reside or work, or in the Member State of the European Union where the alleged breach occurred.

b. Contacting us to exercise your rights

If you wish to exercise your rights mentioned above, you may contact us in the following manners:

- you can send us an email to the following address: communications@nicox.com;
- you can call the following number: +33 (4) 97 24 53 00
- you can write us at the following address: NICOX 2405 route des Dolines 06560 Valbonne (France)

When contacting us, please clearly indicate the Personal Data processing concerned by your request.

You may also contact us using one of the above communications channels, for any question or request you might have about our processing of Personal Data.

8. Updating of the Data Protection Notice

- a. The date of the last update is indicated above, at the beginning of this Data Protection Notice following the line "Last updated on".
- b. In the event we are consider making material or significant modifications to this Data Protection Notice, we will inform you sufficiently in advance before these changes take effect.